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# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449 and required copies of documents listed thereon and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

CONCURRENTLY

First Named Inventor

SRINIVAS GUTTA ET AL.

Group Art Unit

Examiner Name

Attorney Docket Number

US 010633

31000 U.S. PTO  
10/029921



These documents may be relevant in that they have been:

- ☒ considered in drafting the specification of the above-referenced application;
- ☐ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)

Jack D. Slobod

Registration No. 26,236

Signature

Date

December 27, 2001

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. US010633	Serial No. Unassigned	1000 U.S. PTO 10/029921 12/27/01
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant Gutta et al.		
		Filing Date Concurrently		Group Unassigned

  

U.S. PATENT DOCUMENTS													
Ex. Int	Document Number								Date	Name	Class	Sub-class	Filing Date If Approp.
	4	4	5	0	5	3	1	05/22/98	Kenyon et al.	364	604		
	4	7	5	0	2	1	3	06/07/88	Novak	455	67		
	4	8	5	7	9	9	9	08/15/89	Welsh	358	84		
	5	4	8	5	5	1	8	01/16/96	Hunter et al.	380	20		
	5	8	2	8	4	0	2	10/27/98	Collings	348	5.5		
	5	8	3	2	2	1	2	11/3/98	Cragun et al.	395	188.01		
	5	9	1	2	6	9	6	06/15/99	Buehl	348	5.5		
	5	9	9	6	0	1	1	11/30/99	Humes	709	225		
	6	1	1	5	0	5	7	09/05/00	Kwoh et al.	348	5.5		
	6	2	6	6	6	6	4	07/24/01	Russell-Falla et al.	707	5		

  

FOREIGN PATENT DOCUMENTS																
		Document Number								Date	Country	Class	Sub-class	Trans.		
														Yes	No	
		EP	0	7	7	7	3	8	5	A2	04/06/97	Europe				
		W	0	9	8	2	1	6	8	8	22/05/98	PCT				
		W	0	0	0	6	7	2	0	4	09/11/00	PCT				

  

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)		
		Di Nome, T., "Filtering the Web for Young Users," New York Times, September 27, 2001.
		Lee, J., "Companies Compete to Provide Saudi Internet Veil," New York Times, November 19, 2001.
		Munro, K., "Net Nanny 4 Maintains Round-The-Clock Watch as Your Kids Surf the Web," PCWorld.com, Thursday, January 4, 2001.
		"Surfcontrol: the Filtering Company - Surfcontrol Company Background."

  

Examiner	Date Considered
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	